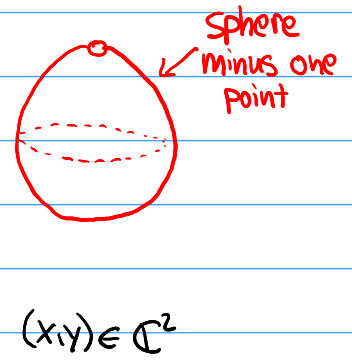
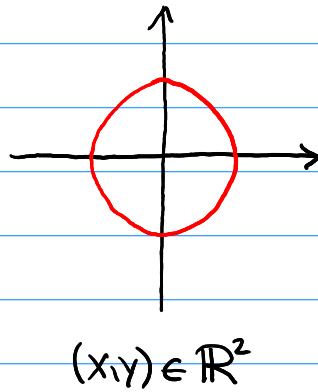
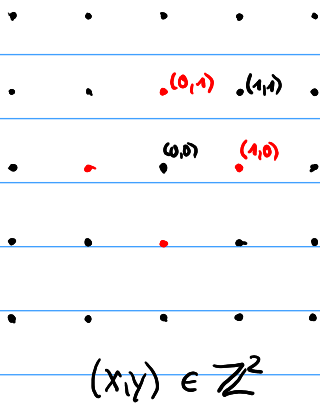


1. Affine varieties

Slogan Algebraic Geometry studies solution sets of systems of polynomial equations in finitely many variables.

Example Equations = $\{x^2 + y^2 = 1\}$

Solutions depend on allowed values for x, y !



$x \backslash y$	$\bar{0}$	$\bar{1}$	$\bar{2}$
$\bar{0}$			
$\bar{1}$			
$\bar{2}$			

$(x,y) \in \mathbb{F}_3 = \{\bar{0}, \bar{1}, \bar{2}\}$

For now Solutions over algebraically closed field $K = \bar{K}$.

Def (Affine varieties)

(a) We call

$$\mathbb{A}^n := \mathbb{A}_K^n = \{(a_1, \dots, a_n) : a_i \in K \text{ for } i=1, \dots, n\}$$

the affine n-space over K .

(b) For a subset $S \subseteq K[x_1, \dots, x_n]$ of polynomials we call

$$V(S) = \{x \in \mathbb{A}^n : f(x) = 0 \text{ for all } f \in S\}$$

the (affine) zero locus of S . Subsets of \mathbb{A}^n of this form are called affine varieties.

Notation

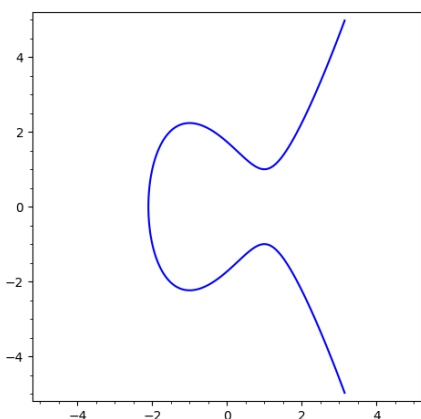
$$V(f_1, \dots, f_m) := V(\{f_1, \dots, f_m\})$$

Examples of affine varieties

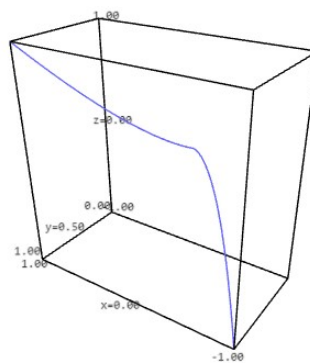
- (a) $A^n = V(0)$, $\emptyset = V(1)$
- (b) $a = (a_1, \dots, a_n) \in A^n$: $\{a\} = V(x_1 - a_1, \dots, x_n - a_n)$
- (c) Linear subspaces of $A^n = K^n$
- (d) $X \subseteq A^n$, $Y \subseteq A^m$ affine var. } Exercise
 $\Rightarrow X \times Y \subseteq A^{n+m}$ affine variety

Fancy examples

Below, for $K = \mathbb{C}$ we draw the real solutions $V(S) \cap \mathbb{R}^n$.



$$V(y^2 - x^3 + 3x - 3)$$



$$V(x^3 - yz, y^2 - xz, z^2 - x^2y)$$

Lemma (Properties of $V(\cdot)$)

- (a) For any $S_1 \subseteq S_2 \subseteq K[x_1, \dots, x_n]$ we have $V(S_1) \supseteq V(S_2)$.
- (b) For any $S_1, S_2 \subseteq K[x_1, \dots, x_n]$ we have $V(S_1) \cup V(S_2) = V(S_1 \cdot S_2)$.
- (c) J index set, $S_i \subseteq K[x_1, \dots, x_n]$ for $i \in J$, then $\bigcap_{i \in J} V(S_i) = V(\bigcup_{i \in J} S_i)$.
= $\{fg : f \in S_1, g \in S_2\}$

In particular: finite unions & arbitrary intersections of affine varieties are again affine varieties.

Exercise Find an infinite union of affine varieties which is not an affine variety.

Proof (a) $x \in V(S_2) \Rightarrow \forall f \in S_2 : f(x) = 0$
 $\xrightarrow{S_1 \subseteq S_2} \forall f \in S_1 : f(x) = 0 \Rightarrow x \in V(S_1).$

(b) For all $x \in \mathbb{A}^n$:

$$x \in V(S_1, S_2) \Leftrightarrow \forall f_1 \in S_1, f_2 \in S_2 : f_1(x) \cdot f_2(x) = 0 \in K$$

$$\stackrel{K \text{ domain}}{\Leftrightarrow} \forall f_1 \in S_1, f_2 \in S_2 : f_1(x) = 0 \text{ or } f_2(x) = 0$$

$$\Leftrightarrow (\forall f_1 \in S_1 : f_1(x) = 0) \text{ or } (\forall f_2 \in S_2 : f_2(x) = 0)$$

$$\Leftrightarrow x \in V(S_1) \cup V(S_2).$$

(c) $x \in \bigcap_{i \in J} V(S_i) \Leftrightarrow \forall i \in J : f(x) = 0 \quad \forall f \in S_i$
 $\Leftrightarrow \forall f \in \bigcup_{i \in J} S_i : f(x) = 0 \Leftrightarrow x \in V(\bigcup_{i \in J} S_i) \quad \square$

Exercise {Affine varieties in \mathbb{A}^1 } = {finite subsets of \mathbb{A}^1 } \cup { \mathbb{A}^1 }

Rmk $S \subseteq K[x_1, \dots, x_n] \rightsquigarrow \langle S \rangle = \left\{ \sum r_i \cdot f_i : \begin{matrix} r_i \in K[x_1, \dots, x_n] \\ f_i \in S \end{matrix} \right\}$

Then: $V(S) = V(\langle S \rangle)$ ideal generated by S

Pf " \supseteq " from $S \subseteq \langle S \rangle$ + Lemma

" \subseteq " $x \in V(S), g = \sum r_i \cdot f_i \in \langle S \rangle, \text{ with } f_i \in S \Rightarrow x \in V(\langle S \rangle).$
 $\Rightarrow f_i(x) = 0 \quad \forall i \Rightarrow g(x) = 0 \quad \#$

Cor Any affine variety $X \subseteq \mathbb{A}^n$ can be written as

- (a) $X = V(J), \quad J \subseteq K[x_1, \dots, x_n]$ ideal
- (b) $X = V(f_1, \dots, f_m), \quad f_i \in K[x_1, \dots, x_n]$ finitely many polynomials.

Pf

$$X \stackrel{\text{Def}}{=} V(S) \stackrel{\text{Rmk}}{=} V(\langle S \rangle) \stackrel{\text{Hilbert's Basis Thm}}{=} V(f_1, \dots, f_n)$$

$\underbrace{\langle S \rangle}_{=: J} \quad \hookrightarrow J = \langle f_1, \dots, f_n \rangle$
 finitely generated. □

LEM (Properties of $V(\cdot)$ - ideal version)

For any ideals J, J_1, J_2 in $K[x_1, \dots, x_n]$ we have

- (a) $V(\sqrt{J}) = V(J) \quad \sim \sqrt{J} = \{g \in K[x_1, \dots, x_n] : g^m \in J \text{ for some } m\}$
- (b) $V(J_1) \cup V(J_2) = V(J_1 \cdot J_2) = V(J_1 \cap J_2)$ radical ideal
- (c) $V(J_1) \cap V(J_2) = V(J_1 + J_2).$

Pf (a) " \subseteq " since $J \subseteq \sqrt{J}$

" \supseteq " $x \in V(J), g \in \sqrt{J} \Rightarrow \exists m \geq 0: g^m \in J$
 $\Rightarrow g(x)^m = 0$

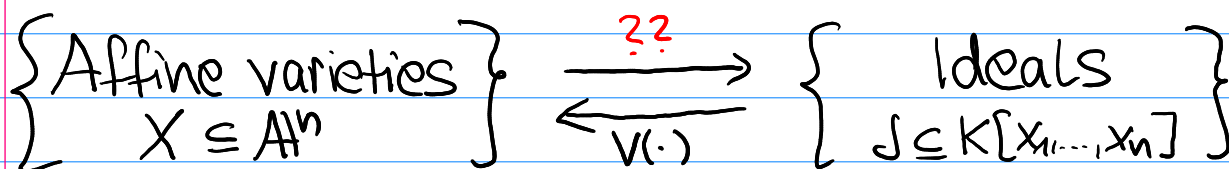
$\Rightarrow g(x) = 0 \Rightarrow x \in V(\sqrt{J})$.

(b) First " $=$ ": Lemma

Second " $=$ ": from (a) since $\sqrt{J_1 J_2} = \sqrt{J_1 \cap J_2}$.

(c) $J_1 + J_2 \stackrel{(*)}{=} \langle J_1 \cup J_2 \rangle \Rightarrow V(J_1) \cap V(J_2) \stackrel{\text{Lem}}{=} V(J_1 \cup J_2)$
 $\stackrel{\text{Rmk}}{=} V(\langle J_1 \cup J_2 \rangle)$
 $\stackrel{(*)}{=} V(J_1 + J_2) \quad \square$

Goal Understand better the correspondence



Def (Ideal of a subset of \mathbb{A}^n)

Let $X \subseteq \mathbb{A}^n$ be any subset. Then

$I(X) = \{ f \in K[x_1, \dots, x_n] : f(x) = 0 \forall x \in X \}$

is called the (vanishing) ideal of X .

$I(X)$ is an ideal:
 $f_1, f_2 \in I(X),$
 $g \in K[x_1, \dots, x_n]:$
 $f \cdot g, f_1 + f_2 \in I(X).$

Rmk

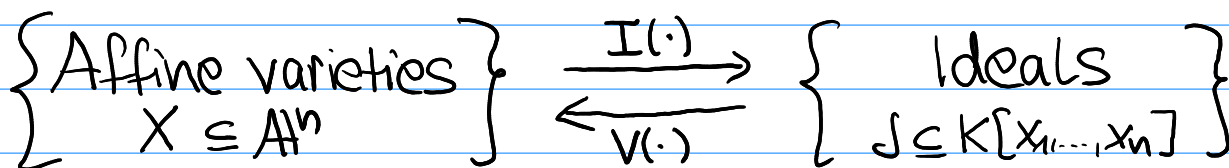
(a) $X_1 \subseteq X_2 \subseteq \mathbb{A}^n \Rightarrow I(X_1) \supseteq I(X_2)$

(b) $I(X) = \sqrt{I(X)}$ is radical: $f \in \sqrt{I(X)} \Rightarrow \exists m \geq 0: f^m \in I(X)$

$\Rightarrow f(x)^m = 0 \forall x \in X$

$\Rightarrow f(x) = 0 \forall x \in X \Rightarrow f \in I(X).$

Thus we have:



Q What are the two compositions $I \circ V$ and $V \circ I$?

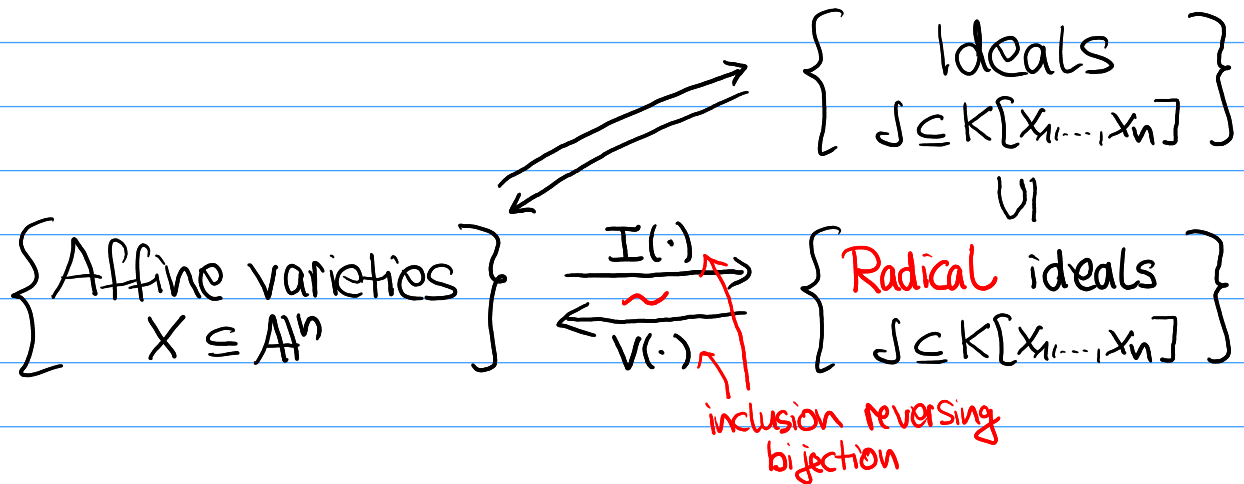
Nullstellensatz & consequences

Pro (Hilbert's Nullstellensatz)

(a) For any affine variety $X \subseteq \mathbb{A}^n$ we have $V(I(X)) = X$.

(b) For any ideal $J \subseteq K[x_1, \dots, x_n]$ we have $I(V(J)) = \sqrt{J}$.

In particular, we have



Pf (a) " \supseteq ": $x \in X \Rightarrow f(x) = 0 \forall f \in I(X) \Rightarrow x \in V(I(X))$

(**) (b) " \supseteq ": $f \in \sqrt{J}, x \in V(J) \stackrel{\text{lem}}{=} V(\sqrt{J}) \Rightarrow f(x) = 0 \Rightarrow f \in I(V(J))$.

(a) " \subseteq ": X affine var. $\stackrel{\text{def}}{\Rightarrow} X = V(J)$

$$\Rightarrow V(I(X)) = V(I(V(J))) \subseteq V(\sqrt{J}) = V(J) = X.$$

Difficult part (b) " \subseteq ": If f vanishes on $V(J)$, then $\exists m: f^m \in J$.

\leadsto this is Hilbert's Nullstellensatz from commutative algebra. \square

Example ($n=1$)

$J \subseteq K[x_1] \xrightarrow[\text{PID}]{K[x_1] \text{ is}} J = \langle \varphi \rangle$ for $\varphi = c \cdot (x_1 - a_1)^{k_1} \cdot (x_1 - a_2)^{k_2} \cdots (x_1 - a_r)^{k_r}$ K alg. closed

$\Rightarrow V(\varphi) = \{a_1, \dots, a_r\} \subseteq \mathbb{A}^1$

$\Rightarrow I(V(\varphi)) = \sqrt{J} = \langle (x_1 - a_1)(x_1 - a_2) \cdots (x_1 - a_r) \rangle$

Rmk K algebraically closed is necessary!

Eg. for $K = \mathbb{R}, J = (x^2 + 1) \subseteq \mathbb{R}[x] \Rightarrow V(J) = \emptyset$

$$\Rightarrow I(V(J)) = \mathbb{R}[x] \neq \sqrt{(x^2 + 1)}$$

prime ideal $\rightarrow \parallel$
($x^2 + 1$)

Cor For K algebraically closed, we have a bijection:

$$\left\{ \begin{array}{l} \text{points } a = (a_1, \dots, a_n) \\ \text{in } A^n \end{array} \right\} \xleftrightarrow{1:1} \left\{ \begin{array}{l} \text{maximal ideals} \\ m \subseteq K[x_1, \dots, x_n] \end{array} \right\}$$

$$a \longmapsto m_a = (x_1 - a_1, \dots, x_n - a_n) = I(\{a\})$$

Pf Well-defined: $K[x_1, \dots, x_n]/m_a \xrightarrow{\sim} K$ is field $\Rightarrow m_a$ maximal
 $[f] \longmapsto f(a)$

Injectivity: $V(m_a) = \{a\} \rightsquigarrow$ can reconstruct a from m_a

Surjectivity: m max. ideal in $K[x_1, \dots, x_n]$

Claim: $V(m) \neq \emptyset$. Otherwise: $I(V(m)) = I(\emptyset) = K[x_1, \dots, x_n]$

$$\sqrt{m} = m \neq m \text{ prime} \Rightarrow \text{radical} \quad \Leftarrow$$

Let $a \in V(m)$

$$\{a\} \subseteq V(m) \Rightarrow I(\{a\}) = m_a \supseteq m \xrightarrow{m \text{ maximal}} m = m_a \quad \square$$

Alternative proof

For inclusion reversing bijection $\{\text{affine varieties}\} \iff \{\text{radical ideals}\}$

\rightarrow sets $\{a\}$ are **minimal, non-empty** affine varieties

\rightarrow ideals $I(\{a\})$ are **maximal, proper** (radical) ideals \square

Lemma (Properties of $I(\cdot)$) For any affine varieties X_1, X_2 in A^n , we have

(a) $I(X_1 \cup X_2) = I(X_1) \cap I(X_2)$

(b) $I(X_1 \cap X_2) = \sqrt{I(X_1) + I(X_2)}$

Pf Exercise. \ast

Fun exercise

Find examples of subsets $X_1, X_2 \subseteq A_c^1$, such that the statement from (b) above is false.

Example

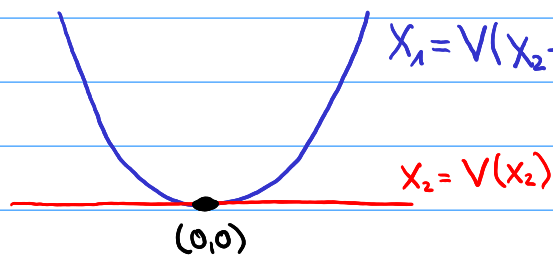
$$I(X_1) + I(X_2) = \langle x_2 - x_1^2, x_2 \rangle$$

$$= \langle x_1^2, x_2 \rangle$$

$$I(X_1 \cap X_2) = \sqrt{\langle x_1^2, x_2 \rangle}$$

$$= \langle x_1, x_2 \rangle$$

\swarrow vanishing loci are equal



can remember that X_1, X_2 intersect with multiplicity 2

Later Generalize from affine varieties to affine schemes

\rightsquigarrow Scheme-theoretic intersection of $X_1, X_2 \hat{=} \text{ideal } \langle x_1^2, x_2 \rangle$

Cor (Weak Nullstellensatz)

Let $J \subseteq K[x_1, \dots, x_n]$ be an ideal, then the following are equivalent:

- (a) $V(J) = \emptyset$ (b) $J = K[x_1, \dots, x_n]$ (c) $1 \in J$.

In other words: given polynomials $f_1, f_2, \dots, f_r \in K[x_1, \dots, x_n]$

\exists solution a of
 $f_1(a) = f_2(a) = \dots = f_r(a)$



this is the "Nullstelle"
from the name of
the theorem.

$\exists g_1, \dots, g_r \in K[x_1, \dots, x_n]:$
 $g_1 f_1 + \dots + g_r f_r = 1$

Functions on affine varieties

Big picture

→ have some nice geometric spaces X given by affine varieties

→ Key insight of Grothendieck:

Now we need to understand
functions on X !

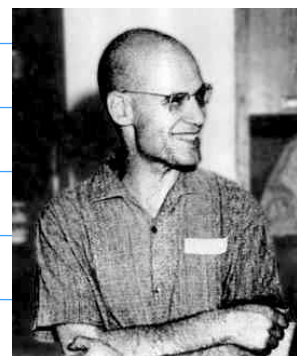
→ Other subjects in mathematics:

vector space $V \rightsquigarrow$ linear forms &
dual space V^*

topological space $S \rightsquigarrow$ continuous
functions $C(S)$

group $G \rightsquigarrow$ characters G^*

→ Now: affine variety $X \rightsquigarrow$??



Alexander Grothendieck

Def Let $X \subseteq \mathbb{A}^n$ be an affine variety.

A polynomial function on X is a map $X \rightarrow K, x \mapsto f(x)$
for some $f \in K[x_1, \dots, x_n]$. Let

$$A(X) = \{ f: X \rightarrow K : f \text{ polynomial function} \}$$

be the coordinate ring of X .

Lem $A(X)$ is a K -algebra (with respect to pointwise $+$ and \cdot).

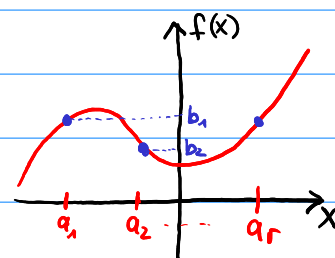
Pf f, g polyn. fct $\Rightarrow f+g, f-g$ poly. fct.

$K \rightarrow A(X)$ sending $\lambda \in K$ to const. function $f(x) = \lambda$ is ring homom. \square

Exa $X = \{a_1, \dots, a_r\} \subseteq \mathbb{A}^1 \rightsquigarrow$ What is $A(X)$?

For any $b = (b_1, \dots, b_r) \in K^r$ there is a polynomial
 $f_b \in K[x]$ with $f_b(a_i) = b_i$ (\rightsquigarrow Lagrange interpolation)

$\Rightarrow A(X) = K^r$ w/ componentwise addit./mult.



With the Nullstellensatz, we can describe $A(X)$ completely!

Prop Let $X = V(J) \subseteq A^n$ be an affine variety.

Then the map

$$K[x_1, \dots, x_n] \longrightarrow A(X), \quad f \mapsto \begin{matrix} x \mapsto K \\ (x \mapsto f(x)) \end{matrix} \quad (*)$$

is surjective with kernel $I(X) = \sqrt{J}$. In particular:

$$A(X) \cong K[x_1, \dots, x_n] / I(X). \quad (**)$$

Proof

The map (*) is surjective by definition of $A(X)$.

Assume f is in the kernel $\Leftrightarrow f(x) = 0 \quad \forall x \in X$

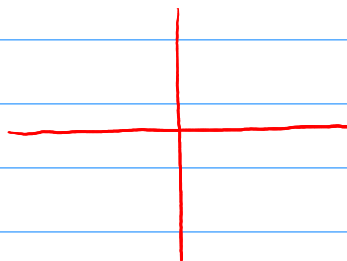
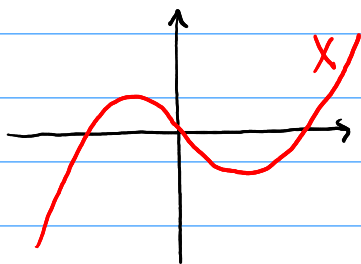
$$\Leftrightarrow f \in I(X) \stackrel{\text{Nullstellensatz}}{=} \sqrt{J}$$

Then (**) follows from the isomorphism theorem. \square

Exg

$$X = V(y - x^3 + x)$$

$$X = V(xy)$$



$$A(X) = K[x, y] / \langle y - x^3 + x \rangle \cong K[x]$$

$$A(X) = K[x, y] / \langle xy \rangle = \text{span}_K \{1, x, x^2, \dots, y, y^2, \dots\} \\ = \{ (f(x), g(y)) : f \in K[x], g \in K[y], f(0) = g(0) \}$$

↑ ↑
functions on $V(y), V(x)$ that agree at origin

Cor $A(A^n) = K[x_1, \dots, x_n]$.

Pf $A^n = V(\langle 0 \rangle)$ so $I(A^n) = \sqrt{\langle 0 \rangle} = \langle 0 \rangle$ and $A(A^n) = K[x_1, \dots, x_n] / \langle 0 \rangle$.

Slogan A polynomial in x_1, \dots, x_n is determined uniquely by its values at points of A^n .

Note Again it is crucial that we work over an alg. closed field!

Take $f(x) = x^2 + x \in \mathbb{F}_2[x] \rightsquigarrow A^1_{\mathbb{F}_2} = \{0, 1\}$ and $f(0) = f(1) = 0$
but $f \neq 0 \in \mathbb{F}_2[x]$!

Subvarieties and relative vanishing ideals

Above we used $K[x_1, \dots, x_n]$ to define affine varieties in A^n & vanishing ideals

Now we can use $A(Y)$ to generalize to subvarieties in Y & relative vanishing ideals

Construction Let $Y \subseteq A^n$ be a fixed affine variety.

(a) For a subset $S \subseteq A(Y)$ we define its zero locus as

$$V(S) = V_Y(S) = \{x \in Y : f(x) = 0 \forall f \in S\}$$

These subsets are called affine subvarieties of Y .

(b) For a subset $X \subseteq Y$, the (vanishing) ideal of X in Y is defined as

$$I(X) = I_Y(X) = \{f \in A(Y) : f(x) = 0 \forall x \in X\} \subseteq A(Y).$$

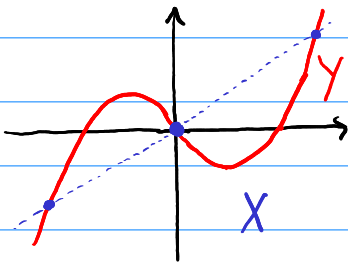
Exg

$$Y = V(y - x^3 + x)$$

$$A(Y) = K[x, y] / \langle y - x^3 + x \rangle$$

$$S = \{[y - 3x]\} = \{[x^3 - 4x]\} = \{[x \cdot (x-2)(x+2)]\}$$

$$X = V_Y(S) = \{(-2, -6), (0, 0), (2, 6)\}$$



Boring but instructive exercise

Let $Y \subseteq A^n$ be an affine subvariety.

(a) Show that any subvariety $X \subseteq Y$ is also a subvariety of A^n .

(b) Conversely, given a subvariety $X' \subseteq A^n$ which satisfies $X' \subseteq Y$, prove that X' is a subvariety of Y .

(c) Prove that $I(X) \subseteq A(Y)$ is an ideal.

(d) Show that for $X \subseteq Y$ a subvariety, we have $A(X) \cong A(Y) / I_Y(X)$.

↑ makes sense since X is affine variety by (a).

(e)* Formulate the relative Nullstellensatz for $V_Y(-)$ and $I_Y(-)$.

In particular, what goes here:

$$\{\text{affine subvarieties } X \subseteq Y\} \overset{?}{\underset{?}{\rightleftarrows}} ??$$

(f)** Prove the relative Nullstellensatz from the absolute version we saw above.

(g) Show the properties of $V_Y(-)$ and $I_Y(-)$ under \cup and \cap generalized from those of $V(-)$ and $I(-)$.

Hints (*) see [Gathmann, Remark 1.18]

(**) see [Gathmann, Exercise 1.23]

Products of affine varieties

We have already seen that for $X \subseteq A^n$ and $Y \subseteq A^m$ affine varieties, we have that $X \times Y \subseteq A^{n+m}$ is an affine variety. Let $I(X) \subseteq K[x_1, \dots, x_n]$ and $I(Y) \subseteq K[y_1, \dots, y_m]$ be their ideals, denote $R = K[x_1, \dots, x_n, y_1, \dots, y_m] = A(A^{n+m})$ and define

$$I_{X \times Y} = I(X) \cdot R + I(Y) \cdot R \stackrel{\text{ideal}}{\subseteq} R.$$

Pro For $X \subseteq A^n$, $Y \subseteq A^m$ affine varieties, we have $I(X \times Y) = I_{X \times Y}$. The ring of polynomial functions on the product $X \times Y$ is given by

$$A(X \times Y) = A(X) \otimes_K A(Y). \quad \leftarrow \text{Slogan: Coordinate ring of product} \\ = \text{tensor product of coordinate rings}$$

General facts on tensor products

A, B rings with ring morphisms $C \begin{matrix} \xrightarrow{\quad} A \\ \xrightarrow{\quad} B \end{matrix}$

$\Rightarrow A \otimes_C B$ ring with $(a \otimes b_1) \cdot (a_2 \otimes b_2) = (a_1 a_2) \otimes (b_1 b_2)$.

Ex $K[x_1, \dots, x_n, y_1, \dots, y_m] = K[x_1, \dots, x_n] \otimes_K K[y_1, \dots, y_m]$.

LEM $I_A \subseteq A$ and $I_B \subseteq B$ ideals

$\Rightarrow I_{A, B} := I_A \cdot A \otimes_C B + I_B \cdot A \otimes_C B$

$$:= \langle \{i_a \otimes b : i_a \in I_A, b \in B\} \cup \{a \otimes i_b : a \in A, i_b \in I_B\} \rangle \subseteq A \otimes_C B$$

is an ideal and the map

$$(A/I_A) \otimes_C (B/I_B) \xrightarrow{\sim} A \otimes_C B / I_{A, B}, \quad (a+I_A) \otimes (b+I_B) \mapsto a \otimes b + I_{A, B}$$

is an isomorphism.

Pf Show map above is well-defined & write down inverse. \square

Pf. of Prop For $R = K[x_1, \dots, x_n, y_1, \dots, y_m]$, want:

$$I(X \times Y) = I(X) \cdot R + I(Y) \cdot R =: I_{X \times Y}.$$

Easy: $V(I_{X \times Y}) = X \times Y \subseteq A^{n+m} \Rightarrow I(X \times Y) = \sqrt{I_{X \times Y}}$

Thus it suffices to show:

$I_{X \times Y}$ is radical $\iff R/I_{X \times Y}$ is reduced $\leftarrow 0$ is only nilpotent element. (\star)

$$\begin{aligned} R/I_{X \times Y} &= K[x_1, \dots, x_n] \otimes_K K[y_1, \dots, y_m] / I(X) \cdot R + I(Y) \cdot R \\ &\stackrel{\text{lem}}{=} (K[x_1, \dots, x_n] / I(X)) \otimes_K (K[y_1, \dots, y_m] / I(Y)) \quad (\star\star) \\ &= A(X) \otimes_K A(Y). \end{aligned}$$

Fact K perfect field and T, U reduced K -algebras

$\Rightarrow T \otimes_K U$ reduced.

Since $I(X), I(Y)$ are radical $\Rightarrow A(X), A(Y)$ reduced

$\stackrel{\text{Fact}}{\implies} A(X) \otimes_K A(Y)$ reduced, which proves (\star) .

Finally:

$$A(X \times Y) = R/I(X \times Y) \stackrel{(\star\star)}{=} A(X) \otimes_K A(Y) \text{ already checked. } \square$$